



National Racing Compact
RENEWAL APPLICATION

for use by Compact licensees

FOR OFFICE USE ONLY

Date: ___ / ___ / ___	License #: _____
Total Fees: _____	Receipt/Trans. ID # _____
Payment type: _____	
Clerk _____	Approved by _____

Use this form to renew your National Racing Compact license. Service of all papers may be made upon you by mail to your last known address; it is your responsibility to report any changes to it throughout your license term.

1. Breed:	<input type="checkbox"/> Thoroughbred	<input type="checkbox"/> Standardbred	<input type="checkbox"/> Quarter Horse	<input type="checkbox"/> Other _____	
2. License Type:	<input type="checkbox"/> Owner	<input type="checkbox"/> Trainer	<input type="checkbox"/> Jockey	<input type="checkbox"/> Driver	<input type="checkbox"/> Other _____
3. Applicant name:	_____				
	<i>Last</i>	<i>First</i>	<i>Middle</i>	<i>Maiden</i>	
4. Social Security/tax ID Number: _____	5. Date of Birth: ___ / ___ / ___	6. Marital status: _____			

ALL APPLICANTS -- Please complete the following:

7. Mailing Address: _____ City _____ State _____ Zip _____

8. Telephone: Business: _____ Home: _____ Fax: _____ Cell: _____

9. Spouse's name: _____

Last *First* *Middle* *Maiden*

10. Citizenship: _____ 11. USTA Membership (if any) No. _____ and Expiration Date: ___ / ___ / ___

12. Occupation: _____

Type *Employer's Name* *Employment Address*

13. Person to notify in case of emergency: _____ Telephone: _____

14. If you are required to have workers' compensation insurance for your racing employees, provide a copy of certificate of insurance and state your coverage: _____

Company *Policy Number* *Exp. Date* *Name of Policyholder*

OWNERS -- Please complete the following:

15. Number of horses in training: _____ 15. Primary Trainer: _____

Name *Location (City and State)*

16. Identify the horses that you plan to race this year (if you own and plan to race more than one, then disclose at least two of them):

Name of Horse	YOB	Trainer's Full Name	Ownership Name on Registration Papers	% you own	Breed T,S,Q

17. List those with a title or beneficial ownership interest in any of your race horses (list them all, using a separate page if necessary):

Name of Co-Owner(s)	Co-Owner(s)'s Address	Name of Horse	% s/he Owns

18. Colors Registration – Jacket Color & Description: _____

Sleeves: _____ Collar: _____ Cap: _____

ALL APPLICANTS – Have any of the following matters occurred since your last license application (in the past 3 years):

- 19a. Has your or your spouse's racing license been denied, suspended for more than 7 days, or revoked? Yes No
- b. Has any other type of license or permit of you or your spouse been suspended, denied, or revoked? Yes No
- c. Have you been expelled, discharged or ejected from any race track, or fined more than \$100? Yes No
- d. Have you or your spouse been convicted (including by nolo contendere) for, or forfeited bail on, any felony or misdemeanor criminal offense (including DWI or DUI)? Yes No
- e. Are there any criminal charges currently pending anywhere against you or your spouse? Yes No
- f. Are you or your spouse currently on parole or probation? Yes No
- g. Have you or your spouse used illegal drugs or excessive-alcohol? Yes No
- h. Have you or your spouse been associated or involved with any activity or person that is disreputable (involving organized crime, illegal gambling, extortion, racketeering, fraud, misrepresentation, race fixing/influencing, bookmaking, touting, pool-selling, bet solicitation, or similar misconduct)? Yes No
- i. Are there any outstanding civil judgments against you or your spouse? Yes No
- j. Are you 4 months or more in arrears in child support? Yes No
- k. Have you any racing conflicts of interest (connections to government, regulators or race tracks)? Yes No

If you have answered "yes" to any of these questions, provide full details (date, matter, location) on a separate page or below.

SUPPLEMENTAL INFO: – Use this space (or a separate page) for any additional information requested above. _____

AFFIRMATION and CONSENT

I consent to a background investigation and report, including information from personal interviews with third parties (family, business, financial sources, etc.) and of my character and general reputation. I consent to a search within the grounds of any racetrack or racing association of my person and property, including premises and vehicles that I have the right to (or do) occupy or control, and to the seizure of articles related to unlawful conduct. I consent to be subject to the subpoena powers of authorized regulatory agencies and to written requests in lieu thereof, and shall provide any such agency with all information and documents it may request. I agree that participating in racing is a privilege, not a right, that my license is subject to conditions precedent in the rules of racing, that failure to comply with those rules shall be grounds for immediate suspension or revocation of my license, and that rulings in one jurisdiction may be applied in others including directly on my national racing compact license. I agree to abide by all applicable rules and regulations where I race or am licensed, including conflict of interest rules of each jurisdiction, and affirm that my use of my license will not violate them, and I accept that my license may be suspended or revoked at any time for misstatements or omissions I have made in my application. Where I submit with this application a fingerprint card designating the national racing compact as an entity to which the Federal Bureau of Investigation ("FBI") is authorized to send my criminal history record report, I agree that I am also authorizing each racing commission (or its equivalent) of a state that is a member of the Compact to receive and retain the criminal history record report and if I fail to indicate on my fingerprint card that the FBI is authorized to send that report to such racing bodies, I authorize the Compact to do so for me. I consent to the Compact keeping my fingerprints on file for later use, such as making a fingerprint submission for any State where I plan to race that seeks my state criminal history record, for any disciplinary action relating to me, or for review of my eligibility or renewal of my Compact license, and I authorize those and related uses.

"I hereby affirm, under penalty of perjury and knowing that false statements herein may be prosecuted as a crime, that I have read the foregoing application (including any attachments) and affirm every statement herein is true and correct. Where I have left certain questions unanswered because the application asks only for changes, I affirm that my information is the same as my last application."

Name: _____ Signature: _____ Date: _____

Email: _____